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Published in: Journal of Neurology, Neurosurgery and Psychiatry

DOI: 10.1136/jnnp.73.6.721

2002

Citation for published version (APA):

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Comparison between the lumbar infusion and CSF tap tests to predict outcome after shunt surgery in suspected normal pressure hydrocephalus

B Kahlon, G Sundbärg, S Rehncrona

Objective: To compare the lumbar infusion test and the cerebrospinal fluid (CSF) tap test for predicting the outcome of shunt surgery in patients with suspected normal pressure hydrocephalus.

Methods: 68 patients with suspected normal pressure hydrocephalus were studied. The absence of preceding history indicated idiopathic disease in 75% of these. All patients were assessed twice with walking and psychometric tests before lumbar infusion test and tap test assessments. The lumbar infusion test was done using a constant infusion rate (0.80 ml/min) and regarded as positive if the steady state CSF plateau pressure reached levels of > 22 mm Hg (resistance to outflow > 14 mm Hg/ml/min). The tap test was regarded as positive if two or more of four different test items improved after CSF removal. As the variability in baseline test results was large, the better of two evaluations was used in comparisons with the results after CSF removal, as well as to evaluate the outcome after shunt surgery. Only patients with a positive lumbar infusion test or a positive tap test had surgery.

Results: The results of the CSF tap test and the lumbar infusion test agreed in only 45% of the patients. Of the total cohort, 47 (69%) had positive test results and were operated on; 45 (96%) of these reported subjective improvement, and postoperative assessments verified the improvements in 38 (81%). Improvements were highly significant in walking, memory, and reaction time tests (p < 0.001). Most of the patients improved by surgery (84%) were selected by a positive lumbar infusion test, and only 42% by a positive tap test. Positive predictive values were 80% for lumbar infusion test and 94% for tap test. The false negative predictions in the operated group were much higher (58%) with the tap test than with the lumbar infusion test (16%).

Conclusions: Both the lumbar infusion test and the tap test can predict a positive outcome of shunt operations in unselected patients with suspected normal pressure hydrocephalus. The two tests are complementary and should be used together for optimal patient selection.
The results obtained after CSF removal were compared with the best of the results from the two baseline tests. At least a 5% improvement in walking and reaction time and at least a 25% improvement in memory and identical forms tests, respectively, were required for the individual test to be classed as improved. The tap test was considered positive if two or more of the four different items improved after CSF removal.

**Surgery**

Surgery was undertaken if either the lumbar infusion test or the tap test or both tests were positive. The patients received a ventriculoperitoneal or a ventriculovenous shunt, using an adjustable valve (Medos, Codman). Postoperatively, the opening pressures of the valves were individually adjusted if necessary to the optimal level for each patient (8–17 mm H2O).

**Evaluation of outcome**

All patients, both operated and non-operated, were evaluated at a follow up visit after (mean (SD)) 6.0 (4.5) months (operated, 6.0 (5.0) months; non-operated 6.0 (4.2) months) using the same test battery as at baseline. In accordance with the tap test criteria, the patients were considered objectively improved if two or more of the test items showed improvement (> 5% for the walking test and the reaction time test; > 25% for the memory and identical forms tests) compared with the better of the two baseline results. Patients and relatives were also asked for their subjective opinion as to whether or not there had been an improvement.

**Statistics**

Descriptive statistics are given as mean (SD). Differences were compared statistically using the Wilcoxon matched pairs signed ranks test. Probability (p) values below 0.05 were considered statistically significant. Four patients were not able to perform all the different items included in the test battery on one or other occasion. These were excluded from statistical analyses and described separately in tables 4 and 5.

**RESULTS**

**Patients, demographic data, and symptoms**

In all, 81 patients were eligible for inclusion in the study, but 13 had to be excluded, as follows. Eight were lost to follow up because of refusals (3), intercurrent disease (1), moved a long way away (1), or missed attending (3); all these patients had a negative lumbar infusion test and a negative tap test and therefore did not fulfill the criteria for surgery. Three further patients refused surgery (two with a positive lumbar infusion test and a negative tap test, one patient with a negative lumbar infusion test and a positive tap test). Finally, two patients were excluded because of lost data. Thus 68 patients remained in the study and all the results refer to these patients. Their demographic data and symptoms are summarised in table 1.

The study included 29 men and 39 women, mean age 72 (9) years (median 74 years, range 38 to 84). Forty seven of the patients (69%) met the criteria for surgery and were operated.
on, while 21 (31%) did not. There were no obvious differences in demographic data between these two groups.

Eight patients had an earlier history of spontaneous intracranial haemorrhage (more than five years before, including one patient with subarachnoid haemorrhage). Four of these patients met the criteria for operation. One of two patients with an earlier history of central nervous system infection (>5 years before) met the criteria for shunt surgery. Three of five patients with earlier history of severe head trauma (>5 years before) met the criteria for a shunt procedure, while neither of two patients operated on earlier for benign intracranial tumours met the criteria. In the majority of cases (75%) there was no evidence of earlier neurological disease to explain the development of normal pressure hydrocephalus. The incidence of cardiovascular disease was high (62%).

Disturbance of gait was the most common preoperative symptom (91%), while fewer patients suffered from cognitive impairment (76%) or incontinence (60%). In most patients, different combinations of symptoms were found. Of 31 patients who had all three symptoms of the classical triad, 25 (81%) had either a positive lumbar infusion test, a positive tap test, or both, while of 12 patients with one symptom, seven had a positive test result (58%), and of 25 with two symptoms, 15 had a positive result (60%).

Complications and assessment of shunt function
There were no complications or side effects related to the lumbar infusion test or the tap test. In three patients the shunting procedure was complicated by a subdural haematoma: in one of these (in whom both the lumbar infusion test and the tap test were positive) the haematoma was managed surgically; in the other two (lumbar infusion test positive; tap test negative) the haematomas did not require evacuation and were absorbed spontaneously. One patient had a postoperative shunt infection with meningitis and was treated with antibiotics and removal of the shunt. After a few months a new shunt was inserted. All complications had resolved before the follow up assessment.

All operated patients who did not show objective improvement at the follow up evaluation (n=9) had a plain skull x-ray, CT of the head, and a repeat lumbar infusion test. In all cases, shunt placement, continuity, and function were found to be adequate.

Variability of the baseline tests
In order to study and compensate for spontaneous variations in the patients’ ability to perform the different tests, we used the mean values of three measurements for the walking and reaction time evaluations, and repeated all tests at a similar time of the day on two different days before CSF removal. Table 2 gives the results of the two baseline tests. The spontaneous variations in the results on day 1 and day 2 were quite large, though the group mean values were similar. Thus there was a difference of more than 5% in the walking and reaction time evaluations in 50–70% of the patients, while around 40% of the patients showed more than 25% difference in the identical forms and memory tests. Similar test results were obtained on day 1 and day 2 in 7–20% of the patients, but in the majority (50–65%) the results were better on the second assessment.

Table 3 summarises the results of the tap test and the lumbar infusion test and relates them to the clinical outcome. Of 21 patients who did not operate on (because both the tap test and the lumbar infusion test were negative), five (24%) were objectively improved at follow up and four also reported subjective improvement. Of these five patients, two had an earlier history of traumatic intracranial haemorrhage and one of aneurysmal subarachnoid haemorrhage, while two had no earlier history. Despite these individual improvements in the non-operated group, none of the four postoperative tests showed any statistically significant change compared with baseline (table 4).

Forty seven patients fulfilled the criteria for surgery and underwent the shunting procedure. Thirty eight (81%) of these patients showed an objectively verified improvement and 45 (96%) reported a subjective improvement (table 3). Of the 38

<table>
<thead>
<tr>
<th>Table 2</th>
<th>Baseline test results on day 1 and day 2</th>
</tr>
</thead>
<tbody>
<tr>
<td>Test day 1</td>
<td>Test day 2</td>
</tr>
<tr>
<td>Walking test (No of steps)</td>
<td>46 (30)</td>
</tr>
<tr>
<td>Walking test (time) [s]</td>
<td>30 (23)</td>
</tr>
<tr>
<td>Reaction time [ms]</td>
<td>653 (1070)</td>
</tr>
<tr>
<td>Memory (No of correct answers)</td>
<td>3.2 (1.9)</td>
</tr>
<tr>
<td>Identical forms test (No of correct answers)</td>
<td>16 (11)</td>
</tr>
</tbody>
</table>

Values are mean (SD) or n (%).

*Cut off levels for CSF tap test.
patients with objective improvement, 32 (84%) had a positive lumbar infusion test but only 16 (42%) a positive tap test. Only one of the nine patients who was not improved had a negative lumbar infusion test but only 16 (42%) a positive tap test. Only patients with objective improvement, 32 (84%) had a positive lumbar infusion test, while eight had a negative tap test. In two groups of patients there was a discrepancy between the results of the lumbar infusion test and the tap test (table 3). In 30 patients a positive lumbar infusion test was an indication for shunt surgery, but the tap test was negative, while seven patients had surgery because of a positive tap test but had a negative lumbar infusion test. The improvement in walking, reaction time, and memory tests after surgery was significant in the first group but not in the second (table 5).

**Degree of postoperative improvement**

Figure 1 shows the clinical outcome in the operated patients with respect to their degree of improvement in the different tests. In the majority of patients walking improved by more than 20% and reaction time by more than 10%. In 10% of the patients these tests were improved by more than 50%. Fewer patients showed improvements in cognitive function above the cut off levels. Thus 50% of the operated patients improved by more than 25% in memory but only 35% improved by more than 25% in the identical forms test. Ten per cent showed more than 100% improvement in these tests. The pattern was similar for patients with a positive lumbar infusion test and patients with a positive tap test except for the identical forms test, which improved more in patients with a positive tap test.

**DISCUSSION**

Our aim in this prospective study was to establish how well the results of the lumbar infusion test and the tap test agree with each other and with the outcome after shunt treatment for suspected normal pressure hydrocephalus. To our knowledge this is the first time these two methods have been directly compared in the same group of patients.

The results show that both tests can predict a positive outcome after surgery, but there was agreement between the test results in only 45% of the patients. Before discussing the clinical implications of the findings we will consider some methodological aspects.

**Methodological considerations**

Both the tap test and the lumbar infusion test require lumbar puncture, and even though this can be done with small diameter needles it is difficult to exclude the possibility that repeated lumbar punctures within a limited time period may influence the test results. Thus if the tap test is performed first, a leak from the puncture may influence the result of a lumbar infusion test, at least for some weeks afterwards. On the other hand, the "leak" may happen during the tap test but not the infusion test, so the results of the tests are not necessarily influenced by each other.

Table 3 shows the clinical outcome in patients after surgery with respect to the degree of improvement in different tests. In this group of patients the improvement was statistically significant for memory and reaction time tests (table 5).

Table 4 shows the statistical analysis of outcome in functional tests as compared with baseline in shunt operated and non-operated groups.

Table 5 shows the statistical analysis of outcome in functional tests after shunt operation compared with baseline in patients positive for lumbar infusion and CSF tap tests.

**Table 4** Statistical analysis of outcome in functional tests as compared with baseline in shunt operated and non-operated groups

<table>
<thead>
<tr>
<th>Test</th>
<th>Operated (n=47)</th>
<th>Not operated (n=21)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Walking test (number of steps) Baseline</td>
<td>47 (28)</td>
<td>35 (23)</td>
</tr>
<tr>
<td>Follow up</td>
<td>40 (31)***</td>
<td>39 (24)</td>
</tr>
<tr>
<td>Mean difference</td>
<td>7 (31)</td>
<td>-4 (9)</td>
</tr>
<tr>
<td>Walking test (time) (s) Baseline</td>
<td>29 (23)</td>
<td>22 (16)</td>
</tr>
<tr>
<td>Follow up</td>
<td>24 (25)***</td>
<td>25 (19)</td>
</tr>
<tr>
<td>Mean difference</td>
<td>5 (26)</td>
<td>-3 (9)</td>
</tr>
<tr>
<td>Memory (number of correct answers) Baseline</td>
<td>3.6 (1.8)</td>
<td>3.5 (2.4)</td>
</tr>
<tr>
<td>Follow up</td>
<td>4.4 (2.0)***</td>
<td>3.4 (2.7)</td>
</tr>
<tr>
<td>Mean difference</td>
<td>0.7 (1.2)</td>
<td>-0.1 (1.3)</td>
</tr>
<tr>
<td>Reaction time (ms) Baseline</td>
<td>427 (333)***</td>
<td>921 (1611)</td>
</tr>
<tr>
<td>Follow up</td>
<td>320 (182)***</td>
<td>512 (467)</td>
</tr>
<tr>
<td>Mean difference</td>
<td>107 (218)</td>
<td>410 (1303)</td>
</tr>
<tr>
<td>Identical forms test (number of correct answers) Baseline</td>
<td>19 (12)</td>
<td>17 (15)</td>
</tr>
<tr>
<td>Follow up</td>
<td>21 (13)</td>
<td>17 (19)</td>
</tr>
<tr>
<td>Mean difference</td>
<td>2 (8)</td>
<td>0 (7)</td>
</tr>
</tbody>
</table>

Values are mean (SD).

***p < 0.001 vs baseline; no other differences significant.

*Baseline values refer to the best of two results.

**Table 5** Statistical analysis of outcome in functional tests after shunt operation compared with baseline in patients positive for lumbar infusion and CSF tap tests

<table>
<thead>
<tr>
<th>Test</th>
<th>LIT positive (n=40)</th>
<th>TT positive (n=17)</th>
<th>LIT and TT positive (n=10)</th>
<th>LIT positive/TT negative (n=30)</th>
<th>LIT negative/TT positive (n=7)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Walking test (number of steps) Baseline</td>
<td>48 (29)</td>
<td>44 (21)</td>
<td>47 (21)</td>
<td>49 (32)***</td>
<td>40 (24)</td>
</tr>
<tr>
<td>Follow up</td>
<td>41 (33)***</td>
<td>36 (19)</td>
<td>37 (20)</td>
<td>42 (37)***</td>
<td>34 (18)</td>
</tr>
<tr>
<td>Walking test (time) (s) Baseline</td>
<td>29 (24)</td>
<td>26 (14)</td>
<td>26 (11)</td>
<td>31 (27)***</td>
<td>27 (19)</td>
</tr>
<tr>
<td>Follow up</td>
<td>25 (27)***</td>
<td>22 (16)</td>
<td>22 (18)</td>
<td>26 (30)***</td>
<td>21 (14)</td>
</tr>
<tr>
<td>Memory (number of correct answers) Baseline</td>
<td>3.7 (1.8)</td>
<td>3.7 (1.9)</td>
<td>3.8 (1.7)</td>
<td>3.6 (1.9)</td>
<td>3.6 (2.2)</td>
</tr>
<tr>
<td>Follow up</td>
<td>4.4 (2.1)***</td>
<td>4.6 (1.3)***</td>
<td>4.9 (1.5)***</td>
<td>4.2 (2.3)***</td>
<td>4.1 (1.1)</td>
</tr>
<tr>
<td>Reaction time (ms) Baseline</td>
<td>377 (218)***</td>
<td>506 (443)</td>
<td>374 (175)</td>
<td>378 (235)***</td>
<td>693 (639)</td>
</tr>
<tr>
<td>Follow up</td>
<td>298 (151)***</td>
<td>351 (205)**</td>
<td>294 (97)*</td>
<td>300 (168)*</td>
<td>433 (290)</td>
</tr>
<tr>
<td>Identical forms test (number of correct answers) Baseline</td>
<td>19.3 (12.3)</td>
<td>17.1 (12.0)</td>
<td>17.6 (11.0)</td>
<td>19.8 (12.8)</td>
<td>16.5 (14.0)</td>
</tr>
<tr>
<td>Follow up</td>
<td>20.3 (12.4)</td>
<td>23.6 (13.0)**</td>
<td>23.6 (7.4)</td>
<td>19.2 (13.5)</td>
<td>24.0 (19.0)</td>
</tr>
</tbody>
</table>

Values are mean (SD).

*p < 0.05; **p < 0.01; ***p < 0.001 v baseline (Wilcoxon matched pairs signed ranks test); no other difference significant.

*Baseline values refer to the best of two results.

*A minus sign for difference indicates a change for the worse.
hand, a leak after a lumbar infusion test could influence the result of baseline test data before CSF drainage in the tap test. To circumvent these difficulties we chose to perform both tests on the same day, using the same lumbar puncture. We have earlier observed that patients may improve for a time after a lumbar infusion test and have interpreted this as being a possible effect of leakage of CSF after the lumbar puncture. If so, this effect is similar to the effect measured by the tap test and should not, therefore, influence the result a tap test performed directly after the lumbar infusion test using the same lumbar puncture.

Lumbar infusion test
For the lumbar infusion test we followed the routines of our department and defined the level of pathological CSF absorptive capacity in accordance with Katzman and Hussey. A pressure of 22 mm Hg was regarded as the cut off level above which the mean steady state plateau during the infusion was considered pathological. As the initial steady state level of resting pressure was 11 (3) mm Hg (n = 68) and the constant infusion rate was 0.80 ml/min, this plateau level corresponds to a CSF outflow resistance of about 14 mm Hg/ml/min or an outflow conductance of about 0.073 ml/min/mm Hg. However, calculations of outflow resistance or conductance do not take into account the possibility that the patient’s own production of CSF may vary at different intracranial pressure levels, and that the initial pressure level (before the start of the infusion) may vary for reasons unrelated to CSF hydrodynamics. Lumbar infusion test pressure curves need to be scrutinised with respect to their initial levels and amplitudes compared with the outcome after shunting.

CSF tap test
The CSF tap test has the advantage of being simple to perform without any specialised equipment. Drawbacks include the fact that the testing procedure needs active cooperation from the patients, and that unrelated diseases or deficits may interfere with the result. This is at least partly compensated for by using four different test items, with the requirement that improvement in only two of them is needed for a positive test result. More importantly, there may be spontaneous variation in the patient’s ability to perform the tests, and the validity of the stipulated cut off levels for significant improvement may be challenged. In fact our results confirmed a high spontaneous variation in baseline test results when repeated in the same patient. Most patients performed better when the baseline tests were repeated on the second day, indicating some learning effect. Because we anticipated the possibility of spontaneous variation, we always used the better of the two baseline tests in comparisons with the result after CSF removal, as well as for evaluating the outcome at follow up. As the improvement in the test result after CSF removal was greater than the difference between the two baseline tests in 85% of all assessments in patients with a positive tap test, we assume that the improvement was caused by tapping off CSF and was not merely a reflection of spontaneous variation in the patients’ ability to perform the tests.

In earlier studies of normal pressure hydrocephalus, using standardised tap test criteria, the baseline tests were performed only once before removal of CSF. The present results indicate that the baseline assessments should be repeated on different occasions and the best of at least two test procedures should be used to compare with the result after CSF removal. The validity of the levels for significant improvement in the tap test is open to discussion in view of our results. Of the 38 patients improved after shunting, the tap test predicted a positive result only in 42%. A decrease in the cut off levels would have tended to increase the numbers of patients selected for surgery, but this seems inadvisable in view of the fact that they are already in the range of spontaneous variation. By the same token, an increase in the cut off levels

Figure 1  Degree of improvement (per cent) in walking time, reaction time, memory, and identical forms tests after shunt operation in patients with normal pressure hydrocephalus, as diagnosed using the lumbar infusion test (LIT) or the cerebrospinal fluid tap test (TT). Total = all operated patients.
would tend to further (and falsely) decrease the number of patients selected for surgery.

**Evaluation of outcome**

In the present cohort, we used the same test battery and principles that are included in the tap test protocol to establish improvement objectively. The practical value of a 5% improvement in the walking and reaction time tests and a 23% improvement in the psychometric is unclear. However, the patients’ (and relatives’) subjective impression of the clinical outcome was in good agreement with the test results. The subjective evaluations showed improvement in a greater number of patients than the objective tests, which may reflect the fact that more than one functional test had to be improved to classify the patient as objectively improved; however, it may also include a placebo effect.

**Prediction of outcome**

Both the tap test and the lumbar infusion test were shown to predict a positive outcome of shunt surgery in patients with suspected normal pressure hydrocephalus. As we followed the departmental routine of not basing the decision for surgery on clinical symptoms and ventricular widening alone, patients with negative results in both the lumbar infusion test and the tap test were not operated on. Thus the total number of false negative results is unknown and the exact values for sensitivity and specificity cannot be calculated. The positive predictive value was high for the tap test (94%), but 22 patients (58%) with improvement after shunt operations would have been missed if this test had been used alone. The positive predictive value of lumbar infusion test was 80% and if used alone the test would only have missed six of the patients who improved after surgery (16%). On the other hand eight patients were unnecessarily operated on because of a positive lumbar infusion test, but only one with a positive tap test. These data indicate that the lumbar infusion test is the more sensitive test and the tap test is the more specific. Only in the small group of patients in whom both tests were positive did every patient improve after shunting. However, 28 patients (74%) with postoperative improvement would have been missed if both tests were required to be positive before considering surgical treatment. Taken together, the data indicate that the tap test and the lumbar infusion test are complementary diagnostic tools. This is not surprising in view of the fact that they assess different qualities.

**Conclusions**

In a patient cohort with clinically suspected normal pressure hydrocephalus but otherwise unselected, the greatest number of patients (> 80%) with improvement after shunt surgery were selected by a positive lumbar infusion test, while fewer (< 50%) were selected by a positive CSF tap test. There was only partial agreement between the two tests and they complement each other. We therefore suggest that both tests are included in a preoperative evaluation programme, and one or both should be positive before recommending surgery. If facilities are available, both tests can be performed at the same occasion.

As the positive predictive value of the tap test is greater than that of the lumbar infusion test, surgery may be based on a positive tap test alone. If negative, however, the tap test must be complemented by a lumbar infusion test, as the proportion of missed patients with false negative results was much higher with the tap test. The high level of spontaneous variability in the test results at baseline means that they must be repeated before making comparisons with the results after CSF removal.

**ACKNOWLEDGEMENTS**

We acknowledge the Medical Faculty, University in Lund for financial support. Birgitta Kahlmert, Birgitta Ekelund, and Maria Nilsson provided excellent help with the CSF tap tests and Sven Söderström provided expert technical assistance with the lumbar infusion tests. Pär-Ola Bendahl helped with statistical calculations.

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**COMPETING INTERESTS:** none declared

**REFERENCES**


