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Fermentation of the Straw Material Paja Brava by the Yeast Pichia stipitis in a Simultaneous Saccharification and Fermentation Process

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ABSTRACT

Paja Brava, a native South American grass with a high carbohydrate content, is the most predominant hemicellulosic sugar, constituting up to 25% of the total dry weight, which is similar to straw materials such as wheat straw or sugarcane bagasse [2,3]. Production of ethanol from lignocellulose requires cost-effective and environmentally sustainable processes [4]. Principal options for ethanol production from lignocelluloses include enzymatic hydrolysis followed by fermentation—Separate Hydrolysis and Fermentation, SHF—or Simultaneous Saccharification and Fermentation,SSF. The latter may include conversion of both conversion of C5 and C6 sugars, in which case the term simultaneous saccharification and co-fermentation, SSCF, is used [5]. Significant advances have been made on the bioconversion of D-xylose into ethanol by genetic engineering of the yeast Saccharomyces cerevisiae to convert also xylose (e.g. [6]), which has been successfully applied for the conversion of Paja Brava in SSF [7]. Other options include fermentation by engineered Escherichia coli [8] or Zymomonas mobilis [9]. There are also naturally pentose fermenting yeasts, most notably Pichia stipitis and Candida shehatae, which offer a direct route [10] albeit requiring microaerobic conditions [11]. P. stipitis is likely the most interesting of the natural xylose fermenting yeasts, since it is able to ferment a wider range of sugars and produces relatively little xylitol [12]. In previous studies hydrolyzate fermentation by P. stipitis, inhibition effects by acid-hydrolysis derived products, e.g. furans, aliphatic acids and phenolic derivatives, were observed. The fermentation processes could be improved by a partial or a complete removal of these toxic compounds (detoxification), or through the adaptation of yeast by preculturing on hydrolysate as medium [13]. Fed-batch SSCF is another attractive process option. With this approach, slurry

Keywords: Ethanol; Lignocellulose; Fermentation; Xylose

1. Introduction

Paja Brava, a Gramineae material, is the most common native grass species in the Bolivian Altiplano and is also widely distributed in South America in general [1]. In this straw, D-xylose is the most predominant hemicellulosic sugar, constituting up to 25% of the total dry weight, which is similar to straw materials such as wheat straw or sugarcane bagasse [2,3]. Production of ethanol from lignocellulose requires cost-effective and environmentally sustainable processes [4]. Principal options for ethanol production from lignocelluloses include enzymatic hydrolysis followed by fermentation—Separate Hydrolysis and Fermentation, SHF—or Simultaneous Saccharification and Fermentation,SSF. The latter may include conversion of both conversion of C5 and C6 sugars, in which case the term simultaneous saccharification and co-fermentation, SSCF, is used [5]. Significant advances have been made on the bioconversion of D-xylose into ethanol by genetic engineering of the yeast Saccharomyces cerevisiae to convert also xylose (e.g. [6]), which has been successfully applied for the conversion of Paja Brava in SSF [7]. Other options include fermentation by engineered Escherichia coli [8] or Zymomonas mobilis [9]. There are also naturally pentose fermenting yeasts, most notably Pichia stipitis and Candida shehatae, which offer a direct route [10] albeit requiring microaerobic conditions [11]. P. stipitis is likely the most interesting of the natural xylose fermenting yeasts, since it is able to ferment a wider range of sugars and produces relatively little xylitol [12]. In previous studies hydrolyzate fermentation by P. stipitis, inhibition effects by acid-hydrolysis derived products, e.g. furans, aliphatic acids and phenolic derivatives, were observed. The fermentation processes could be improved by a partial or a complete removal of these toxic compounds (detoxification), or through the adaptation of yeast by preculturing on hydrolysate as medium [13]. Fed-batch SSCF is another attractive process option. With this approach, slurry
is gradually fed into the reactor which provides a chance for adaptation as well as in-situ detoxification, i.e. a biocconversion of primarily inhibiting aldehyde compounds [14]. An additional advantage of the fed-batch process is that higher solids loading can be applied than in batch mode, since the mixing problems due to a too high viscosity can be reduced [5]. A high solids loading will contribute to the reduction of water use for ethanol production and improve the process economy [15].

The objective of the present study was to assess the potential of the natural xylose-fermenting yeast *P. stipitis* (CBS6054) to ferment pretreated Paja Brava using the process concept simultaneous saccharification and co-fermentation (SSCF). In addition, batch and fed-batch operation in the SSCF were compared.

2. Methods

2.1. Raw Material

The Paja Brava (the brave straw) used in this study was obtained from the Bolivian Altiplano, (17˚S Latitude, 67˚W Longitude). The whole plant above ground was used. Dry material was prepared and analyzed in the same way as described previously [7]. Its composition on an original dry weight (DW) straw basis was glucan, 34.4%; xylan, 25.2%; arabinan, 3.0%; galactan, 0.8%; Klasson lignin, 21.2%; acid soluble lignin, 3.5%; acetyl, 1.2%; extractives, 2.4%; and ash, 6.1%.

2.2. SO2-Catalysed Steam Pretreatment

Several batches (300 g DW, 64% moisture content) of Paja Brava were pretreated at 200°C for 5 min using 2.5% SO2 (percentage DW basis) in a pretreatment unit as described by Palmqvist et al. [16]. These conditions have previously been determined to be optimal for steam pretreatment of Paja Brava, as described elsewhere [7]. The pretreated straw was collected and subsequently separated in two fractions (pulp and hydrolysate).

2.3. Yeaststrain and Medium

The xylose-fermenting yeast *Pichia stipitis* CBS6054 obtained from Centraalbureau voor Schimmelcultures, Delft, The Netherlands was used throughout the work. The culture was routinely maintained on agar plates made from yeast extract 10 g/L (Merck, Darmstadt, Germany), peptone from soy 20 g/L (Merck, Darmstadt, Germany), agar agar 20 g/L (Merck, Darmstadt, Germany) and D-glucose 20 g/L (Sigma, Germany) as additional carbon resource.

2.4. Yeast Production

Culture media (200 mL) were prepared by adding mineral and salt solutions at the concentrations reported by Taherzadeh et al. [17] with the exception of the D-glucose concentration (Sigma, Germany), which in the current study was 16.5 g/L. Synthetic medium and glucose were adjusted to pH 5.5 (NaOH 2.0 M) and autoclaved separately for 20 min at 121°C. After cooling to about 25°C, vitamin solution was added under aseptic conditions. The precultures were aerobically conducted in cotton-plugged unbaffled conical flasks (500 mL) using a thermostatic bath shaker (GYROMAX TM 929, Amerex instruments, Inc., Lafayette, CA, USA) at 160 rpm and 30°C for 24 h. In the fermentation of hemicellulose hydrolyzates, culture broth from the E-flasks was centrifuged in 50 mL centrifuge tubes at 1800 × g for 10 minutes. Thereafter, the cell pellet was washed with sterile sodium chloride, 0.9% (w/v), and in each hydrolysate medium flask to reach the desired cell concentration, which in the current study was 5 g/L DW. Cell growth was measured off-line by the optical density at 610 nm. SSCF experiments were preceded by a two-phase yeast cultivation (batch and fed-batch) to produce the yeast needed according to Rudolf et al. [18]. First, a batch cultivation of *P. stipitis* was made in a 2.5 L reactor (Biostat A plus Sartorious BBI systems, Melsungen, Germany) with a defined growth medium containing (per liter of solution): D-glucose, 21 g; (NH4)2SO4, 26 g; KH2PO4, 0.75 g; MgSO4·7H2O, 0.75 g; trace metal solution, 10 mL; and vitamin solution, 1 mL; the composition of the last two solutions as specified in Taherzadeh et al. [17]. The batch cultivation was started by injection of 25 mL of inoculum. A total working volume of 0.7 L, including inoculum and medium, was used. The batch cultivations were made at a stirrer rate of 900 rpm, a temperature of 30°C, a pH of 5.0 (controlled by automatic addition of 3.0 M NaOH), and using an aeration rate of 1.0 L/min (controlled by a mass-flow meter Bronckhorst Hi-Tech, Ruurlo, The Netherlands). The fed-batch cultivation started after depletion of the ethanol produced in the batch cultivation. A pentose-rich hydrolysate (Table 1), obtained from the steam pretreatment was used. The medium was supplemented with 35 g/L of D-glucose. The total substrate volume was 1.1 L. The initial feed rate was 0.04 L/h and this was linearly increased to 0.10 L/h in 16 h. The fed-batch conditions were the same as those in the batch cultivation with the exception of the aeration rate which was increased to 1.4 L/min. The yeast produced was harvested aseptically by centrifugation (3000 × g for 7 min) at 4°C, washed and then resuspended in approximately 250 mL NaCl (0.9%) to give a cell concentration of 5 g/L in SSF experiments. Chemicals were obtained from Sigma-Aldrich Co, Riedel-de Haën, and Merck.

2.5. Fermentation

2.5.1. Hemicellulose Hydrolysate

35 mL sterile flasks (working volume 26.4 mL) equipped
Table 1. Composition of hemicellulose hydrolysate and cellulose fibre fraction from Paja Brava.

<table>
<thead>
<tr>
<th>Hydrolysate</th>
<th>(g/L)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Cellobose</td>
<td>3.1 ± 0.05</td>
</tr>
<tr>
<td>Glucose</td>
<td>3.9 ± 0.08</td>
</tr>
<tr>
<td>Xylose</td>
<td>40.6 ± 1.4</td>
</tr>
<tr>
<td>Arabinose</td>
<td>6.2 ± 0.01</td>
</tr>
<tr>
<td>Acetic acid</td>
<td>2.9 ± 0.13</td>
</tr>
<tr>
<td>Levulinic acid</td>
<td>0.34 ± 0.01</td>
</tr>
<tr>
<td>Formic acid</td>
<td>0.40 ± 0.01</td>
</tr>
<tr>
<td>Furfural</td>
<td>1.5 ± 0.03</td>
</tr>
<tr>
<td>HMF</td>
<td>0.5 ± 0.02</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Pretreated pulp</th>
<th>(g/100g DM)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Glucan</td>
<td>63.6 ± 0.9</td>
</tr>
<tr>
<td>Xylan</td>
<td>2.4 ± 0.03</td>
</tr>
<tr>
<td>Arabinan</td>
<td>0.80 ± 0.1</td>
</tr>
<tr>
<td>Lignin</td>
<td>30.2 ± 0.9</td>
</tr>
</tbody>
</table>

The material was pretreated at 200°C with a holding time of 5 min using 2.5% SO₂. Presented values are mean values of duplicate experiments. Standard deviations are given ±.

2.5.2. Simultaneous Saccharification and Co-Fermentation (SSCF)

Batch and fed-batch SSCF experiments, with a water-insoluble solids (WIS) content of pretreated Paja Brava slurry of 10% were carried out in 2.5 L lab-scale bioreactors Biostat A, (B. Braun Biotech International), and Biostat A plus Sartorius BBI systems (Melsungen, Germany). The WIS content was determined according to Posey et al. [20]. A working weight of 1.4 kg (approx. 1.4 L) was used. The preparation of slurry by addition of nutrients, enzymes and yeast, for both batch and fed-batch mode, was made according to Carrasco et al. [7]. In the fed-batch experiments, a total of six portions of slurry were added every second hour to reach the intended final WIS content. The SSCF fermentations were performed at a stirrer rate of 500 rpm a temperature of 35°C and a pH-value of 6.0 (controlled by automatic addition of 0.3 M NH₃). The temperature and pH were selected according to Rudolf et al. [18]. Two aeration rates, 20 and 40 mL/min (controlled by a mass-flow meter Bronckhorst Hi-Tech, Ruurlo, The Netherlands) were tested. Samples for metabolite analyses were taken after 0, 2, 4, 6, 8, 24, 28, 32, 48, 72 and 96 h, and were immediately centrifuged and stored at −18°C until analyzed. All experiments were carried out in duplicates.

2.5.3. Analyses

1) Biomass

Dry weight was determined turbidometrically at 610 nm (OD610) after the samples were diluted 1:10 in MilliQ-water to obtain absorbance values less than 0.5 in the spectrophotometer (SECOMAN CE, France). Cell dry weight was also determined by duplicate 10 mL samples, which were centrifuged at 1000 × g for 3 min. The supernatants were discarded and cell pellets were washed three times with distilled water and dried for 24 h at 105°C and subsequently weighed.

2) Metabolite Analysis

Prior to analysis by HPLC (Waters 1515, Milford, MA, USA), oligomeric and monomeric sugars and by-products (as furans and aliphatic acids) obtained as water-soluble solids (WSS) were analyzed according to the NREL procedure described in Sluiter et al. [21]. All hydrolysate samples were centrifuged at 16000 × g for 5 min and filtered through 0.20 μm sterile filters. The pretreated pulp composition of Paja Brava was analyzed according to the NREL standard assay [22]. Cellobiose, glucose, galactose, xylose and arabinose, from liquid and solid fractions, were analyzed on an Aminex HPX-87P column (Bio-Rad laboratories, Hercules, CA, USA) at 85°C. MilliQ-water was used as eluent at a flow rate of 0.6 mL/min. Ethanol, xylitol, glycerol, acetic acid, levulinic acid, formic acid, 5-hydroxymethyl furfural (HMF) and furfural were determined using an Aminex HPX-87H column (Bio-Rad laboratories, Hercules, CA, USA) at 60°C with 0.6 mL/min eluent of 5 mM H₂SO₄. All metabolites were quantified using a refractive index detector (Waters 2410, Waters, Milford, MA, USA) with the exception of acetic acid, HMF and furfural, which were
2.6. Calculations of Yields

Yield calculations (g·g⁻¹) were based on the total sugar content, hexoses and pentoses, in the pretreated straw and detoxified liquid fraction from the pretreatment (i.e. the hemicellulose hydrolysates) of Paja Brava was first evaluated using the xylose-fermenting yeast *P. stipitis* CBS6054. It is well-know that microaerobic conditions improve xylose uptake by *P. stipitis* [11]. For this reason both anaerobic experiments and semi-aerobic experiments were made in the initial evaluation of inhibiting properties. In previous studies, SO₂-steam pretreatment has resulted in a relatively high concentration of hemicellulose sugars in the liquid fraction for different feedstock [3,23]. Xylose was found to be the completely dominant sugar in the liquid fraction after steam pretreatment (about 40 g/L), followed by arabinose (about 6 g/L), whereas only relatively low amounts of glucose and cellobiose were found in the liquid fraction (cf. Table 1). This agrees well with the fact that mainly the hemicellulose fraction is hydrolyzed during the steam pretreatment. Other compounds found at significant levels included acetic acid, a few grams per liter—formed from deacetylation of hemicellulose—as well as some furfural—formed as breakdown product of pentoses. In the anaerobic fermentation tests, the extent of inhibition was tested by comparing to fermentation of a synthetic medium with the same sugar concentration as the hydrolysate. Furthermore, tests were made in the semi-aerobic fermentations in which additional glucose was added, to investigate potential beneficial effects of presence of glucose. The simultaneous fermentation of (low amounts of) glucose has previously been shown beneficial for xylose fermentation in engineered xylose-fermenting *S. cerevisiae* strains [24].

The conversion of the hydrolysate sugars when fermenting the pretreatment liquid alone was low under both anaerobic and semiaerobic conditions (Table 2). Only a minor fraction (<10%) of the sugars were converted anaerobically in the pretreatment liquid, in contrast to the case of a synthetic medium containing the same amount of sugars, in which all the glucose and half the xylose was consumed although at a low rate. The low rate of anaerobic conversion agrees with previous studies [11,25]. The difference between the synthetic medium and the pretreatment liquid indicate inhibitory effects on the *P. stipitis* fermentation. However, under semi-aerobic conditions, it was possible to obtain a reasonable conversion of glucose, especially for the case when glucose (20 g/L) was added to the medium. An ethanol yield of 0.13 g/g was obtained in this case showing that the inhibition per se was not complete, but rather that the xylose conversion was very low. Glucose was the preferred carbon source and was completely assimilated before xylose uptake started.

3. Results and Discussion

3.1. Fermentation of Pretreatment Liquid

During pretreatment a number of inhibitors can potentially be formed, most of which are found in the liquid fraction. For this reason, the fermentability of the non-detoxified liquid fraction from the pretreatment (i.e. the hemicellulose hydrolysates) of Paja Brava was first evaluated using the xylose-fermenting yeast *P. stipitis* CBS6054. It is well-known that microaerobic conditions improve xylose uptake by *P. stipitis* [11]. For this reason both anaerobic experiments and semi-aerobic experiments were made in the initial evaluation of inhibiting properties. In previous studies, SO₂-steam pretreatment has resulted in a relatively high concentration of hemicellulose sugars in the liquid fraction for different feedstock [3,23]. Xylose was found to be the completely dominant sugar in the liquid fraction after steam pretreatment (about 40 g/L), followed by arabinose (about 6 g/L), whereas only relatively low amounts of glucose and cellobiose were found in the liquid fraction (cf. Table 1). This agrees well with the fact that mainly the hemicellulose fraction is hydrolyzed during the steam pretreatment. Other compounds found at significant levels included acetic acid, a few grams per liter—formed from deacetylation of hemicellulose—as well as some furfural—formed as breakdown product of pentoses. In the anaerobic fermentation tests, the extent of inhibition was tested by comparing to fermentation of a synthetic medium with the same sugar concentration as the hydrolysate. Furthermore, tests were made in the semi-aerobic fermentations in which additional glucose was added, to investigate potential beneficial effects of presence of glucose. The simultaneous fermentation of (low amounts of) glucose has previously been shown beneficial for xylose fermentation in engineered xylose-fermenting *S. cerevisiae* strains [24].

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3.2. Simultaneous Saccharification and Fermentation

In SSF, the glucose is gradually released to the medium through enzymatic hydrolysis of the cellulose fraction, in contrast to the experiments made with glucose addition to the pretreatment liquid described above. One may therefore expect further benefits from the SSF than the simple addition experiments, since glucose is released during a longer time period. The oxygen transfer rate (OTR) is a critical environmental factor in xylose fermentation by *P. stipitis* [11]. In this study, an oxygenation rate of 8 mmol oxygen/L·h was used, which is the same oxygenation rate as was used by Rudolf et al. [18] in their study on conversion of sugarcane bagasse. Increase of the aeration up to 16 mmol oxygen/L·h was also tested, but in that aeration rate was shown to be too high and virtually no ethanol was formed.

Both batch and fed-batch SSF fermentations were carried out using fully undetoxified hydrolysate slurry (Figures 1 and 2). A WIS loading of pretreated material of 10% was used in the batch fermentations. The fed-batch fermentations started with 6% WIS and material was then gradually added to give a total added WIS loading of 10% as in the batch case. It was quite clear that the SSF fermentation (Table 3) was strongly improved in comparison to the fermentation of pretreatment liquid only (cf. Table 2), giving a much larger conversion of the pentose sugars in the liquid phase. Final ethanol titers around 20 g/L were obtained and xylose conversions were above 80%. This can be compared to results reported by Rudolf et al. [18] for sugar cane bagasse, in which a xylose conversion around 90% was obtained at a WIS content of 7.5% with *P. stipitis* CBS6054 in SSF, i.e. even higher than found in the present study. However, the WIS loading in this study was higher than that of Rudolf et al. [18], and any increase in the WIS loading in SSF/SSF normally results in a decrease in xylose conversion-mostly due to higher amounts of inhibitors present in the slurry [2,7]. Although the yields obtained...
from Paja using \textit{P. stipitis} compare well with yields obtained from sugar cane bagasse, it is clearly lower that has been reported from Paja Brava using a genetically engineered \textit{S. cerevisiae} strain, for which an ethanol yield close to 0.4 g/g was obtained [7]. Further improvements in the ethanol yield in the case of \textit{P. stipitis} may be possible by further optimization of the aeration. However, this is not trivial since the rates change over time as a function of hydrolysis rate and cell concentration. The fed-batch mode of SSF operation gave a somewhat higher overall ethanol yield than the batch case (Table 3). This is explained by the higher conversion of xylose, arabinose and cellobiose. In the batch SSF (Figure 1), the glucose concentration increased for the first 10 hours, reaching 3 g/L, whereas in the fed-batch case, the glucose concentration stayed below 3 g/L and the concentration peaked at 6 h. The initial increase in glucose concentration is caused by a higher initial rate of enzymatic hydrolysis than the rate of glucose consumption. As the hydrolysis proceeds, the rate of hydrolysis falls, resulting in a net decrease of glucose concentration. The glucose concentration in the fed-batch case stayed below that of the batch case throughout the process. Similarly, the xylose concentration decreased more rapidly in the fed-batch case than in the batch case. The yeast \textit{P. stipitis} is able to transport both glucose and xylose (via low-affinity system) by proton gradient-linked transporters [26,27]. The conversion of C5 sugars to ethanol was significantly improved by SSCF in comparison to the shake flask fermentations. Most likely this is connected to the co-utilization of glucose and the fact that the glucose concentration was lower than in the shake flask studies. According to Panchal et al. [28] the glucose should be well below 2% (w/v), before significant xylose uptake is initiated in the slurry substrate. Also for some Candida species (\textit{C. shehatae} and \textit{C. stearolytica}), utilization of xylose requires that the glucose stays below 3% - 5% (w/v) in the medium [28,29]. The xylose conversion was high already in the batch case, and even higher in the fed-batch case (Table 3). This may be connected to the lower glucose concentration, and thereby a more favorable xylose to glucose ratio in the fed-batch case, similar to what has been found for engineered xylose fermenting \textit{S. cerevisiae} [30]. However, this is not the only factor. The conversion rate of the furaldehydes, furfural and HMF, were lower in the batch than in the fed-batch case (Figure 1). Since furfural is known to be reduced by the xylose reductase of \textit{P. stipitis} [31], a competitive inhibition of xylose reductase by furfural could explain a lower xylose conversion rate in the batch case. The furaldehydes may also affect the enzymatic hydrolysis of the fibers [32].

The pretreatment liquid from Paja Brava contains in addition to xylose, also significant amounts of cellobiose and arabinose. In the batch process, about half of the arabinose was converted, whereas almost all arabinose was converted in the fed-batch case (Figure 1). The con-
Figure 1. Concentrations measured in simultaneous saccharification and co-fermentation (SSCF) of pretreated Paja Brava using *P. stipitis* CBS6054. Batch fermentations are shown by dashed lines and open symbols and fed-batch fermentation are shown by continuous lines and filled symbols. (a) Concentration of xylose (diamonds) and arabinose (squares); (b) Concentration of glucose (circles) and cellobiose (triangles); (c) Concentration of furfural (diamonds) and 5-hydroxymethyl furfural (HMF, triangles).

Figure 2. Concentrations of ethanol (circles) and xylitol (squares) measured during batch (dashed line, open symbols) and fed-batch (continuous line, unfilled symbols) simultaneous saccharification and co-fermentation (SSCF) using *P. stipitis* CBS6054.

version of cellobiose also increased in the fed-batch process, although to a lesser extent. The simultaneous assimilation of sugars suggest that the xylose-metabolizing enzymes, xylose reductase (XR) and xylitol dehydrogenase (XDH), were not repressed by cellobiose and arabinose, which is consistent with the report by Bicho et al. [33] on induction of these enzymes in *P. stipitis* in mixed sugar solutions.

4. Conclusion

The current study has shown that steam pretreated Paja Brava can be converted in a fed-batch SSF process up to 10% WIS with an ethanol yield of 0.27 g/g using the natural xylose-fermenting yeast *P. stipitis*. The conversion of xylose was strongly increased in comparison to fermentation of the xylose rich pretreatment liquid alone. Fed-batch operation in the SSF further increased the conversion of xylose, and arabinose. Further improvements—in particular with respect to aeration rates—are necessary to make the *P. stipitis* fermentation competitive with processes using recombinant *S. cerevisiae*.

5. Acknowledgements

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